

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket No.	CLW001
First Inventor	Michael Cutbirth
Title	FUND FOR WIND ENERGY PROJECTS AND A METHOD FOR ESTABLISHING THE SAME
Express Mail Label No.	EL 791521572 US

JC879 U.S. PTO  
11/03/01

**APPLICATION ELEMENTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form  
(submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27
3.  Specification [ total pages 16 ]  
(preferred Arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) [ total sheets 1 ]
5.  Oath or Declaration [ total sheets 2 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from prior appl. (37 C.F.R. § 1.63(d))
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. (See 37 CFR 1.76)
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (coversheet/document(s))
10.  37 CFR. 3.73(b) Statement  Power of Attorney
11.  English Translation Document
12.  IDS & Form 1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)
15.  Certified Copy of Priority Document(s)
16.  Request & Certification under 35 USC 122(b)(2)(B)(i)
17.  Other: Certificate of Express Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:    /   

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

FOR CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label or  Correspondence address below

Name	Stuart T. Langley				
	Hogan & Hartson, LLP				
Address	1200 17 <sup>th</sup> Street Suite 1500				
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(720) 406-5335	Fax	(720) 406-5301
Name (Print/Type)	Stuart T. Langley		Registration No.	33,940	
(Signature)			Date	11/9/01	

# FEE TRANSMITTAL for FY 2002

Complete if Known	
Application Number	Not Yet Accorded
Filing Date	Not Yet Accorded
First Named Inventor	Michael Cutbirth
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Accorded
Attorney Docket No.	CLW001

TOTAL AMOUNT OF PAYMENT (\$) **(\$)** 544.00

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
 Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  
 Check  Credit Card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	<b>370.00</b>
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$)</b> 370.00

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
30	5		-20** = 10	X 9.00 = 90.00	<b>90.00</b>
			-3*** = 2	X 42.00 = 84.00	<b>84.00</b>
					<b>0</b>

\*\* or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b> 174.00

### 3. ADDITIONAL FEES

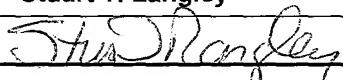
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge – late filing fee or oath	
127 50	227 25	Surcharge – late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive – unavoidable	
141 1,280	241 640	Petition to revive – unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			
<b>SUBTOTAL (3)</b>			<b>(\$)</b>

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)**

SUBMITTED BY Complete (if applicable)

Name (Print/Type) **Stuart T. Langley** Registration No. (Attorney/Agent) **33,940** Telephone **(720) 406-5335**

Signature 

Date **11/8/01**

UNITED STATES PATENT AND TRADEMARK OFFICE  
DOCUMENT CLASSIFICATION BARCODE SHEET



# Specification

4

A large, bold, black number '4' is centered on the page, with a vertical line extending downwards from its bottom right corner.

Level - 2  
Version 1.1  
Updated - 8/01/01